

.LQJ\$WVH DUFK ([FHOOHQFH  
7HUPV RI 5HIDQORJHU\*DXLGHOLQHV  
.LQJTV 5HVH DUFK ([FHOOHQFH & KDLUV 3URJUDP  
DUFK ([FHOOHQFH 6FKRODU

9HUVLRQ Y

&217(176  
3UHDPEOH

\$ \*HQHUDO 3ROLFLHV \$SSOLFDEOH WR 5HVH DUFK ([FHOOHQFH 6FKRODUV DQG 5HVH DUFK ([FHOOHQFH  
9DOXH RI \$ZDUGV  
7RWDO )XQGLQJ \$YDLODEOH IRU 5HVH DUFK ([FHOOHQFH &KDLUV 3URJUDP  
)XQGLQJ \$YDLODEOH IRU 5HVH DUFK ([FHOOHQFH 6FKRODUV 3URJUDP  
)UHTXHQF\  
\$GMXGLFDWLRQ







(WKLFV 5HYLHZ

\$OO DSSOLFDQWV ZKR SURSRVH UHVHDUFK LGGYRORZLQJ KXPDLV EMBWPHQW (WKLFDO  
,QYROYLQJ±7&BQV DQROORZ WKH SROLF\ RXWOLQHG IRU .LQJTV



:KHUH WKHUH DUH H[FHSWLRQDO DQHG XOREBOHSHWDSHUFLKFDYWDQVHFDYUFK DQG RU GLVVHPLC  
DSSOLFDWLRQ H[WHQVLRQV RI XS WR RQH \HDU FDQ EH DSSURWHGH D\WWHGHVGRLOFUHTXLRV  
HYLGHQFH RI SURJUHVVGHVHULWRZDWWKHDIQGHODIV FRXOG QRW KDYH EHHQ UHDVRQDEO\ IR  
SUHVHQWHG IRU FRPSOHWLQJ WKH SURMHFW E\ WGB HEGLRZVQHG D\G\XG\LRQWSHDORGH[WKH  
UHTXHVWV PXVW EH VXEPLWWHG QR ODWHUGD\W\XVLRQD\W\KSHR\W\HQR\WRKHURHTXHLQD\ORUOPG

5HDSSOLFDWLRQ WR WKH &RPSHWLWLRQ

- x \$SSOLFDWLRQV WKDW DUH QRW IXQGHHG XSRQ WKHLU \$SSOLFDWLRQVWRWKHDFWVSDGRBQV  
VXEVWDQWLYHO\ GLIIHUHQW SURFHDSWHLGHYHUVLHQRQRWRIMSGRHWQRWVUDSGXEPD\WLRQV
- x (OLJLEOH DSSOLEBQVDFBGRHDFK ([FHOHQFH JUDQW RQFH HYHU\ WZR \HDUV 7KLV  
WKH\ FDQQRW DSSO\ LQ WKH EHW\W\W\H\U\HF RPSHWLWLRQ\ LQ VXEVHTXHQR URXQGV

5HODWLWLRQWRWKHUR\$ZD\XHG E\ WKH 5HVHDUFK \$FWLYLWLHV &RPPLWWHH

,Q RUGHU WR PDLQWDLQ WKH G Q'a.Qy&ë U L





\$ G M X G L F & D U W L M R Q U Q D ¶ V 5 H V 6 H D U R F O K D U V \* U D Q W

7KH SURMHFW KDV D FOHDUO\ GHILQHG UHVHDFK TXHVWLRQ DQG FOHDUO\ GHILQHG  
7KH SURSRVDO SURYLGHV HYLGHQFH RI LWV RULJLQDOLW\ DQG LWV SRWHQWLDO WR D



& . L Q J T V 5 H V ( H D H O O H & Q D W 3 U R J U D P

7 Z R H V H D & K F L U V Z L O O E H D Z D U G H G H D F K \ H D U

x 2 Q H H V H D & K U L V U H D S S O L H F O Q R M V Z K B U T X D O D U H D W 3

x 7 K H R W K V W D E O L V K H G / H U Y H G I R U V F K R O D U V L Q D O O R W K H U V W D J H V R I F D U H H U

( O L J L E H O X W U H P H Q W V I R U H V H D R & K F W L S H D R H R X W O L Q H G E H O R Z

% R W K D Z D U G V D U H L Q W H Q G H O W W R X W I L R O L D O S U H F R W I Q L L V R X Q L Q R U W K H L U U H V H D U F K L P S D F W  
S H H U V W K U R X J K W K H D G M X G L F D W L R Q S U R F H V V R X W O L Q H G E H O R Z

( [ S H F W D W R L U R O V V H D U F K ( [ F H O O H Q F H & K D L U V ( D U O \ D Q G ( V W D E O L V K H

R I

W E T R E L @ R W I K C H P @ S I H O W O E V W E T X R R Z P @ T E F K R O D E P I G H H E V E Q O H A D ` E H W H A D ) T M U



\$GMXGLFDWLRQ/ 5HVHDFK & DDUHHU

7KH SURMHFW KDV D FOHDUO\ GHILQHG UHVHDFK TXHVWLRQ DQG FOHDUO\ GHILQHG  
7KH SURSRVDO SURYLGHV HYLGHQFH RI LWV RULJLQDOLW\ DQG LWV SRWHQWLDO WR  
7KH DSSURSULDWHQHVV RI WKH WKHRUHWLFDO DSSURDFK DQG PHWKRGRORJ\  
7KHUH LV D FOHDUO\ LGHQWLILHG DXGEEHQGHVLPMDWHVWURKRXWRPISURMHFW  
7KH DXGLHQFH\ V QHGV DUH FOHDUO\ GHILQHG DQG VXSSRUWHG E\ HYLGHQFH  
7KHUH DUH FOHDUO\ GHILQHG RXWSXWV DQG WKHUH LV HYLGHQFH WKDW SXWKH WQVDFK  
FKDQDFDGHPLF RU VRFLHWDO  
7KHUH LV HYLGHQFH WKDW WKH NQRZOHGJH PRELOLJDWLRQ DSSURDFK RU IUDPHZRUN  
7KH SURSRVHG VWXGHQW WUDLQLQJ DQG RU LQYROYHPHQW LV FHDQWVHDOEDQDGGUR  
GLVVHPLQDWLRQ REMHFWLYHV

7KH UHVHDFK SODQ LV FOHDU FRKHVLYH DQG HDV\ WR XQGHUVWDQG

\$SSOLFQWV 5HVHDUFK & DUHHU

\$SSOLFQWV PXVW VXEPLW DOO UHTXWVHG PDWVHOLSOV DFCRGLQG WRVSDWLQJ DOO IRUP  
SXEOLVKHG GHDGOLQH

& .LQJ\$VHDFK±&KVDIEOLVKG

(OLJLE±.QQWV 5HVHDFK±(K&KDOUVKHG

- x \$SSOLFDQWV PVLWHEHDXIXWOPHPEHU DW .LQJTV 8QLYHUVLW\ &ROOHJH DW WKH WLPH
- x \$SSOLFDQWV WLEOH WR(DSSORHNRVSHHVHDFK &KDLU
- x 7R EH FRPSHWLWLYH DSSOLFDQWV WKRXDG KIDYH
  - o UHVHDFK LPSDFW DFDGHPLF DQG RU VRFLDO
  - o FRQVLVWHQWHEXOSHOH YLHSHZEG LFDXWGRQJ RU UKFRJOLZDLRGV HWF





\$SSOLFELWLRQV 5HVHDUFK & DOUVKHG

\$SSHQ\$IQWLSDWFKHG XOH RI &RPSHWLWLRQV \$FFRUGLQ&K URRX JR WWDK  
 5HVHDUFK ([F]HOOHQFH

\$SOLF<HDU-DQXD	\$ZDUG7HUP)<	5([6FKRO<*UDQW	5([&KDI(DUO\	5([&KDI(VWDEO	)XQGV'LVWULE\$QXD

1RWH

- x 7R UHVSHFW WKH RQGLUPLRQQRI WKH 5HVHDUFK ([FHOOHQFH \$ZDUG IXQGV DSSURYHG E IXQGV WR D PD[LPXP RI K&DQRJLVHIDUFDU([FHOOHQFH &KDLUV DUH LVVXHG PD[LPXP R\HDWRWDOO\SHHVHD&KDRVHU \HDUV
- x %DVHG RQ WKLVZEDGHEH WKH ILQDO URXQG RI FRPSHWLWLRQV IRU ERWKH V&KH5DUFKDU ([FHOOHQFH &KDLUV JUDQWV (DUO\ DQG (VWDEOLVKHG
- x 7KHUH ZRXOG RQO\ EH HQRXJK IXQGV WR LVVXH DJRDOV RYH5DUFK([SHVOWQR& D UHVXOW WKH JUDQWV KDYH EHHQ GLYLGHG HYHQO\ RYHU WKRVH \HDQW LQ RSSR